

**FORM I – PRE-CLEARANCE OF TRADE  
(To be submitted in Duplicate)**

Date:

The Compliance Officer

IRB Infrastructure Developers Ltd.

Through Division/ Department Head

Name of the applicant	
Designation	
Employee Pay Roll No.	
Number and value of securities in the Company held as on date (with folio / DP ID / Client ID No.)	
Nature of securities held	*Equity Shares / Other Securities
The Proposal is for	I. Acquisition in the open market II. subscription to the securities III. sale of securities
Proposed date of dealing in securities	
Nature of proposed dealing	Purchase / Sale of securities
Estimated number of securities proposed to be acquired / subscribed / sold	
Price at which the transaction is proposed	
Current market price (as on the date of application)	
Whether the proposed transaction will be through stock exchange or off market deal	
Name of the Depository DP ID Number Client ID number	

In relation to the above Dealing, I undertake that:

- I. I have no access to nor do I have any information that could be construed as "Price Sensitive Information" as defined in the Code upto the time of signing this undertaking;
- II. In the event that I have access to or received any information that could be construed as "Price Sensitive Information" as defined in the Code, after the signing of this undertaking but before executing the transaction for which approval is sought, I shall inform the Compliance Officer of the same and shall completely refrain from dealing in the securities of the Company until such information becomes public;
- III. I have not contravened the provisions of the code of conduct for prevention of insider trading as notified by the Company from time to time;

IV. I have made full and true disclosure in the matter.

V. I hereby declare that I shall execute my order in respect of securities of the Company within one week after the approval of pre-clearance is given. If the order is not executed within one week after the approval is given, I undertake to obtain pre-clearance for the transaction again.

Place:

Date:

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Signature

\* strike whichever is not applicable.

**PRE CLEARANCE ORDER**

This is to inform you that your request for dealing in \_\_\_\_\_  
(numbers) shares of the Company as mentioned in your abovementioned application is approved. Please note that the said transaction must be completed on or before (date), that is within one week from today.

For IRB Infrastructure Developers Limited

Compliance Officer

Date:

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**CONFIRMATION**

To,

The Compliance Officer

IRB Infrastructure Developers Limited

I confirm that the share dealing for which approval was granted on \_\_\_\_\_ was completed on \_\_\_\_\_ to purchasing/selling \_\_\_\_\_ (numbers) equity shares of the Company.

Employee Name :

Designation :

Pay Roll No. :

**FORM II -WAIVER OF MINIMUM HOLDING PERIOD**

Date:

The Compliance Officer

IRB Infrastructure Developers Limited

Through Division / Department Head

Dear Sir,

I request you to grant me waiver of the minimum holding period of 30 days as required under the Code of Conduct for prevention of insider trading with respect to \_\_\_\_\_ shares of the Company held by me/\_\_\_\_\_(name of relative) singly/jointly acquired by me on \_\_\_\_\_(Date). I desire to deal in the said shares on account of \_\_\_\_\_ (give reasons)

Thanking you,

Yours faithfully

(Name)  
(Designation)  
(Department)

### FORM III -QUARTERLY STATEMENT

Date:

To,  
The Compliance Officer  
IRB Infrastructure Developers Ltd.

#### Quarterly Statement of Shareholding of Directors\*/Officer\*/ Designated Employee\*/ Connected Person\*

Name	Designation**	Department	No. of shares held on 1 <sup>st</sup> day of quarter	No. of shares bought during the quarter	No. of shares sold during the quarter	No. of shares held on last day of quarter

#### Details of Shares held by Relatives\*\*\*/Dependent Family Members# (as applicable)

Name of Relative	Relationship	No. of shares held on 1 <sup>st</sup> day of quarter	No. of shares bought during the quarter	No. of shares sold during the quarter	No. of shares held on last day of quarter

I/We declare that I/We have complied with the requirements of minimum holding period of 30 day with respect to the shares sold.

\_\_\_\_\_  
Name and Signature

\* Delete whichever is not applicable

\*\* Connected person should mention the nature of association

\*\*\* Applicable to Director

#Applicable to Officer/Designated Employee and Connected Person

Note: To be submitted within 4 working days of end of each calendar quarter as per Clause 6.3-3 of the Code.

## FORM IV -ANNUAL DISCLOSURE

Date:

To,  
The Compliance Officer  
IRB Infrastructure Developers Ltd.

### Statement of Shareholding of Directors\*/Officer\*/ Designated Employee\*

Name	Designation Department	No. of shares held on 1 <sup>st</sup> April, 200__	No. of shares Bought During the year	No. of shares sold during the year	No. of shares held on 31 <sup>st</sup> March, 200__	Folio No./ DP ID/ Client ID

### Details of Shares held by dependent family members

Name of Relative	Relationship	No. of Shares held on 1 <sup>st</sup> April, 200__	No. of Shares Bought During the year	No. of Shares Sold during the year	No. of Shares held on 31 <sup>st</sup> March, 200__	Folio No. /DP ID/ Client ID

I/We declare that the shares sold have been held by me/us for 30 days

I/We further declare that the above disclosure is true and correct and is in accordance with the previous disclosures given to the Company.

\* Delete whichever is not applicable

Note: To be submitted within 4 working days of end of each calendar quarter as per Clause 6.3-4 of the Code.



**FORM B**

Securities and Exchange Board of India (Prohibition of Insider Trading)  
Regulations, 1992

[Regulation 13 (2) and (6)]

**Intimation of the details of shareholdings upon joining the Company as a  
Director and/or Designated Director**

Name, PAN No. & Address of Director/Officer	Date of assuming office of Director / Officer	No. & % of shares/voting rights held at the time of becoming Director / Officer	Date of intimation to company	Mode of acquisition (market purchase / public / rights / preferential offer etc.)	Trading member through whom the trade was executed with SEBI Registration No. of the TM	Exchange on which the trade was executed	Buy quantity	Buy value

\* Delete whichever is not applicable.

Name & signature:



**FORM D**

**Disclosure of changes in shareholdings from last disclosure where such change exceeds**

**a ) Rs. 5.00 lacs in value or b) 25000 shares or c) 1% of the total shareholding of the Company, whichever is lower**

Securities and Exchange Board of India (Prohibition of Insider Trading)

Regulations, 1992

[(Regulation 13 (4) and (6)]

**Regulation 13(4) – Details of change in shareholding of Director or Officer of a Listed Company**

Name, PAN No. & Address of Director/Officer	No. & % of shares/voting rights held by the Director/Officer	Date of receipt of allotment advice/acquisition / sale of shares/voting rights	Date of intimation to company	Mode of acquisition (market purchase/public/rights/preferential officer etc.)	No. & % of shares/post acquisition/voting rights sale	Trading member through whom the trade was executed with SEBI Registration no. of the TM	Exchange on which the trade was executed	Buy quantity	Buy value	Sell qty	Sell value

Name and Signature